

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Krishnamoorthy et al.

Application No.: 09/318,692

Filing Date: 05-25-1999

Title: System and Method for Securely Downloading Firmware to Storage Devices and Managing Devices in a Client-Server Architecture

Confirmation No.: 1777

Examiner: Choudhary, A.

Group Art Unit: 2153

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT Technology Center 2100

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:
() Statement under 37 CFR 1.97(e), or
(X) a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e)(1) or (2), and
() a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- (X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 6/2/04

OR

- () I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number _____ on _____ Number of pages: _____

Typed Name: Carlin Taylor

Signature: Carlin Taylor

Respectfully submitted,

Krishnamoorthy et al.

By Paul W. Mitchell

Paul W. Mitchell

Attorney/Agent for Applicant(s)

Reg. No. **44,453**

Date: 6-2-2004

Telephone No.: (509) 324-9256

FORM PTO-1449

ATTY. DOCKET NO.

APPLICATION NO.

CONFIRMATION NO.

200308240-1

09/318,692

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LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,356,979	03-2002	Sicola et al.	
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Enabling the future, Serial ATA Working Group, http://www.serialata.org/faqs.html , 11/09/2001, pp.1-2.
1R	Enabling the future, Serial ATA Working Group, http://www.serialata.org/index.html , 11/09/2001, 1 page.
1S	Serial ATA: High Speed Serialized AT Attachment, Serial ATA Workgroup, APT Technologies, Inc., Santa Cruz, CA, August 29, 2001, revision 1.0, pp. 1-306.

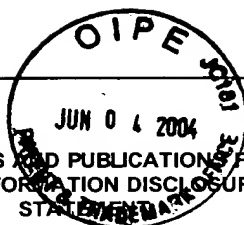
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

2Q	Alexenko, Michael, ATA for the Enterprise: The Present and Future State of ATA, Maxtor, February 21, 2001, pp. 1-15.
2R	Colson, Bill, Serial ATA: An Evolutionary Transition, Developer UPDATE Magazine, Intel Corporation, August 2000, pp. 1-6.
2S	NASAA Risk Disclosure Guidelines, September 9, 2001, pp.1-3.

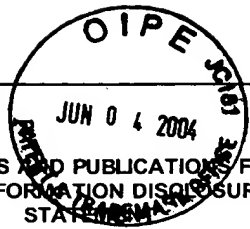
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

3Q	Landis, Hale, ATA-ATAPI.COM, Information, Software and Consulting Services for ATA/ATAPI (IDE/EIDE), SCSI, 1394 and Other Interfaces, http://www.ata-atapi.com , 11/09/2001, pp. 1-4.
3R	Serial ATA: The Next Generation Internal Storage Interconnect, Serial ATA Working Group, www.serialata.org , pp.1-2.
3S	ATA-ATAPI.COM GOOD and BAD Information Sources, http://www.ata-atapi.com/goodbad.htm , October 4, 1999, pp. 1-2.

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	4L					
	4M					
	4N					
	4O					
	4P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

4Q	How it Works ATA FAQ, http://www.ata-atapi.com/hiwfag.htm , April 20, 2001, pp. 1-16.
4R	
4S	

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